

Substitute for form 1449A&B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete If Known</b>	
				Application Number	10/815,340
				Filing Date	March 30, 2004
				First Named Inventor	Berzofsky, Jay A.
				Art Unit	1645
				Examiner Name	Nicole Kinsey
Sheet	1	of	2	Attorney Docket Number	015280-368240US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/NK/	AA	Ahmed and Gray, "Immunological memory and protective immunity: understanding their relation," <i>Science</i> 272:54-60 (1996).	<input type="checkbox"/>
	AB	Bender <i>et al.</i> , "Oral immunization with a replication-deficient recombinant vaccinia virus protects mice against influenza," <i>J. Virol.</i> 70:6418-6424 (1996).	<input type="checkbox"/>
	AC	Bennink <i>et al.</i> , "Influenza pneumonia: early appearance of cross-reactive T cells in lungs of mice primed with heterologous type A viruses," <i>Immunol.</i> 35:503-509 (1978).	<input type="checkbox"/>
	AD	Chen <i>et al.</i> , "Predominant T-cell receptor V $\beta$ usage of intraepithelial lymphocytes during the immune response to enteric reovirus infection," <i>J. Virol.</i> 71:3431-3436 (1997).	<input type="checkbox"/>
	AE	Ehl <i>et al.</i> , "A functional and kinetic comparison of antiviral effector and memory cytotoxic T lymphocyte populations <i>in vivo</i> and <i>in vitro</i> ," <i>Eur. J. Immunol.</i> 27:3404-3413 (1997).	<input type="checkbox"/>
	AF	Epstein <i>et al.</i> , "Mechanism of protective immunity against influenza virus infection in mice without antibodies," <i>J. Immunol.</i> 160:322-327 (1998).	<input type="checkbox"/>
	AG	Gallichan and Rosenthal, "Long-lived cytotoxic T lymphocyte memory in mucosal tissues after mucosal but not systemic immunization," <i>J. Exp. Med.</i> 184:1879-1890 (1996).	<input type="checkbox"/>
	AH	Gurgo <i>et al.</i> , "Envelope sequences of two new United States HIV-1 isolates," <i>Virology</i> 164:531-536 (1988).	<input type="checkbox"/>
	AI	Kulkarni <i>et al.</i> , "Cytotoxic T cells specific for a single peptide on the M2 protein of respiratory syncytial virus are the sole mediators of resistance induced by immunization with M2 encoded by a recombinant vaccinia virus," <i>J. Virol.</i> 69:1261-1264 (1995).	<input type="checkbox"/>
/NK/	AJ	Kundig <i>et al.</i> , "On the role of antigen in maintaining cytotoxic T-cell memory," <i>Proc. Natl. Acad. Sci. USA</i> 93:9716-9723 (1996).	<input type="checkbox"/>

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/NK/	AK	Lohman <i>et al.</i> , "Antiviral cytotoxic T lymphocytes in vaginal mucosa of simian immunodeficiency virus-infected rhesus macaques," <i>J. Immunol.</i> 155:5855-5860 (1995).	<input type="checkbox"/>
	AL	Miller <i>et al.</i> , "Rhesus macaques previously infected with simian/human immunodeficiency virus are protected from vaginal challenge with pathogenic SIVmac239," <i>J. Virol.</i> 71:1911-1921 (1997).	<input type="checkbox"/>
	AM	Putkonen <i>et al.</i> , "Protection of human immunodeficiency virus type 2-exposed seronegative macaques from mucosal simian immunodeficiency virus transmission," <i>J. Virol.</i> 71:4981-4984 (1997).	<input type="checkbox"/>
	AN	Quesada-Rolander <i>et al.</i> , "Protection against mucosal SIV <sub>sm</sub> challenge in macaques infected with a chimeric SIV that expresses HIV type 1 envelope," <i>AIDS Res. Hum. Retroviruses</i> 12:993-999 (1996).	<input type="checkbox"/>
	AO	Ratner <i>et al.</i> , "Complete nucleotide sequence of the AIDS virus, HTLV-III," <i>Nature</i> 313:277-284 (1985).	<input type="checkbox"/>
	AP	Rosenthal and Gallichan, "Challenges for vaccination against sexually-transmitted diseases: induction and long-term maintenance of mucosal immune responses in the female genital tract," <i>Semin. Immunol.</i> 9:303-314 (1997).	<input type="checkbox"/>
	AQ	Sydora <i>et al.</i> , "Intestinal intraepithelial lymphocytes respond to systemic lymphocytic choriomeningitis virus infection," <i>Cell. Immunol.</i> 167:161-169 (1996).	<input type="checkbox"/>
	AR	Takahashi <i>et al.</i> , "A single amino acid interchange yields reciprocal CTL specificities for HIV-1 gp160," <i>Science</i> 246:118-121 (1989).	<input type="checkbox"/>
	AS	Taylor and Askonas, "Influenza nucleoprotein-specific cytotoxic T-cell clones are protective <i>in vivo</i> ," <i>Immunol.</i> 58:417-420 (1986).	<input type="checkbox"/>
/NK/	AT	Wang <i>et al.</i> , "Mucosal immunization with a DNA vaccine induces immune responses against HIV-1 at a mucosal site," <i>Vaccine</i> 15:821-825 (1997).	<input type="checkbox"/>

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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
/NK/	AE	PCT	WO 87/07616		12/17/1987			<input type="checkbox"/>
/NK/	AF	PCT	0 273 716		12/23/1987			<input type="checkbox"/>
/NK/	AG	PCT	WO 94/26785		11/24/1994			<input type="checkbox"/>
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/NK/	AH	Ahlers <i>et al.</i> , "Construction of an HIV-1 Peptide Vaccine Containing a Multideterminant Helper Peptide Linked to a V3 Loop Peptide 18 Inducing Strong Neutralizing Antibody Responses in Mice of Multiple MHC Haplotypes after Two Immunizations," <i>J. Immunol.</i> 150:5647-5665 (1993).	<input type="checkbox"/>	
	AI	Ahlers <i>et al.</i> , "Candidate HIV Type 1 Multideterminant Cluster Peptide-P18MN Vaccine Constructs Elicit Type 1 Helper T Cells, Cytotoxic T Cells, and Neutralizing Antibody, all Using the Same Adjuvant Immunization," <i>AIDS Res. Hum. Retroviruses</i> 12:259-272 (1996).	<input type="checkbox"/>	
	AJ	Ahlers <i>et al.</i> , "Cytokine-in-Adjuvant Steering of the Immune Response Phenotype to HIV-1 Vaccine Constructs," <i>J. Immunol.</i> 158:3947-3958 (1997).	<input type="checkbox"/>	
	AK	Ahlers <i>et al.</i> , "Enhanced Immunogenicity of HIV-1 Vaccine Construct by Modification of the native Peptide Sequence," <i>Proc. Natl. Acad. Sci. USA</i> 94:10856-10861 (1997).	<input type="checkbox"/>	
	AL	Belyakov <i>et al.</i> , "Mucosal Immunization with HIV-1 Peptide Vaccine Induces Mucosal and Systemic Cytotoxic T Lymphocytes and Protective Immunity in Mice Against Intrarectal Recombinant HIV-Vaccinia Challenge," <i>Proc. Nat. Acad. Sci.</i> 95:1709-1714 (1998).	<input type="checkbox"/>	
	AM	Berzofsky <i>et al.</i> , "Construction of Peptides Encompassing Multideterminant Clusters of Human Immunodeficiency Virus Envelope to Induce <i>In Vitro</i> T Cell Responses in Mice and Humans of Multiple MHC Types," <i>J. Clinical Invest.</i> 88:876-884 (1991).	<input type="checkbox"/>	
	AN	Berzofsky and Berkower, "Novel Approaches to Peptide and Engineered Protein Vaccines for HIB Using Defined Epitopes: Advances in 1994-1995," <i>AIDS</i> 1995 9(A):S143-S157 (1995).	<input type="checkbox"/>	
	AO	Bomsel, "Transcytosis of Infectious Human Immunodeficiency Virus Across a Tight Human Epithelial Cell Line Barrier," <i>Nature Medicine</i> 3:42-47 (1997).	<input type="checkbox"/>	
	AP	Butini <i>et al.</i> , "Comparative Analysis of HIV-Specific CTL Activity in Lymphoid Tissue and Peripheral Blood," <i>J. Cellular Biochemistry Abstract Supplement</i> :147 (1994).	<input type="checkbox"/>	
/NK/	AQ	Cranage <i>et al.</i> , "Macaques Infected with Live Attenuated SIVmac are Protected Against Superinfection via the Rectal Mucosa," <i>Virology</i> 229:143-154 (1997).	<input type="checkbox"/>	

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/NK/	AR	di Tommaso <i>et al.</i> , "Induction of Antigen-Specific Antibodies in Vaginal Secretions by Using a Nontoxic Mutant of Heat-Labile Enterotoxin as a Mucosal Adjuvant," <i>Infection and Immunity</i> 64:974-979 (1996).	<input type="checkbox"/>
	AS	Douce <i>et al.</i> , "Intranasal Immunogenicity and Adjuvanticity of site-directed mutant derivatives of cholera toxin," <i>Infection and Immunity</i> 65:2821-2828 (1997).	<input type="checkbox"/>
	AT	Gallichan <i>et al.</i> , "Long-Lived Cytotoxic T Lymphocyte Memory in Mucosal Tissues After Mucosal but not Systemic Immunization," <i>J. Exp. Med.</i> 184:1879-1890 (1996).	<input type="checkbox"/>
	AU	Graham <i>et al.</i> , "Candidate AIDS Vaccines," <i>New England Journal of Medicine</i> 333:1331-1339 (1995).	<input type="checkbox"/>
	AV	Hale <i>et al.</i> , "T Cell Multideterminant Regions in the Human Immunodeficiency Virus Envelope: Toward Overcoming the Problem of Major Histocompatibility Complex Restriction," <i>International Immunology</i> 1:409-415 (1989).	<input type="checkbox"/>
	AW	Haynes, B.F., "Scientific and Social Issues of Human Immunodeficiency Virus Vaccine Development," <i>Science</i> 260:1279-1286 (1993).	<input type="checkbox"/>
	AX	Klavinskis <i>et al.</i> , "Mucosal or Targeted Lymph Node Immunization of Macaques with a Particulate SIVp27 Protein Elicits Virus-Specific CTL in the Genito-Rectal Mucosa and Draining Lymph Nodes," <i>J. Immunol.</i> 157:2521-2527 (1996).	<input type="checkbox"/>
	AY	Kozlowski <i>et al.</i> , "Serum Angiotensin-1 Converting Enzyme Activity Processes a Human Immunodeficiency Virus 1 Gp160 Peptide for Presentation by Major Histocompatibility Complex Class I Molecules," <i>J. Exp. Med.</i> 175:1417-1422 (1992).	<input type="checkbox"/>
	AZ	Langermann, S., "New Approaches to Mucosal Immunization," <i>Seminars in Gastrointestinal Disease</i> 7:12-18 (1996).	<input type="checkbox"/>
/NK/	BA	Lehner <i>et al.</i> , "Protective Mucosal Immunity Elicited by Targeted Iliac Lymph Node Immunization with a Subunit SIV Envelope and Core Vaccine in Macaques," <i>Nature Medicine</i> 2:767-775 (1996).	<input type="checkbox"/>

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/NK/	BB	Miller <i>et al.</i> , "Genital Mucosal Transmission of Simian Immunodeficiency Virus: Animal Model for Heterosexual Transmission of Human Immunodeficiency Virus," <i>J. Virol.</i> 63:4277-4284 (1989).	<input type="checkbox"/>
	BC	Modrow <i>et al.</i> , "Computer- Assisted Analysis of Envelope Protein Sequences of Seven Human Immunodeficiency Virus Isolates: Prediction of Antigenic Epitopes in Conserved and Variable Regions," <i>J. Virol.</i> 61:570-578 (1987).	<input type="checkbox"/>
	BD	Musey <i>et al.</i> , "HIV-1 Induces Cytotoxic T Lymphocytes in the Cervix of Infected Women," <i>J. Exp. Med.</i> 185:293-300 (1997).	<input type="checkbox"/>
	BE	Neutra <i>et al.</i> , "Antigen Sampling Across Epithelial Barriers and Induction of Mucosal Immune Responses," <i>Annu. Rev. Immunol.</i> 14:275-300 (1996).	<input type="checkbox"/>
	BF	Parditos <i>et al.</i> , "Antibody Responses to Non-Immunogenic Synthetic Peptides Induced by Co-Immunization with Immunogenic Peptides," <i>Immunology</i> 77:262-266 (1992).	<input type="checkbox"/>
	BG	Parditos <i>et al.</i> , "Mucosal Immunization with a Measles Virus CTL Epitope Encapsulated in Biodegradable PLG Microparticles," <i>J. Immun. Meth.</i> 195:135-138 (1996).	<input type="checkbox"/>
	BH	Parditos <i>et al.</i> , "The Adjuvant Effect of a Non-Toxic Mutant of Heat-Labile Enterotoxin of <i>Escherichia Coli</i> for the Induction of Measles Virus-Specific CTL Responses After Intranasal Co-Immunization with a Synthetic Peptide," <i>Immunology</i> 89:483-487 (1996).	<input type="checkbox"/>
	BI	Porgador <i>et al.</i> , "Intranasal Immunization with CTL Epitope Peptides from HIV-1 or Ovalbumin and the Mucosal Adjuvant Cholera Toxin Induces Peptide-Specific CTLs and Protection Against Tumor Development in Vivo," <i>J. Immunol.</i> 158:834-841 (1997).	<input type="checkbox"/>
	BJ	Shirai <i>et al.</i> , "Broad Recognition of Cytotoxic T Cell Epitopes from the HIV-1 Envelope Protein with Multiple Class I Histocompatibility Molecules," <i>J. Immunol.</i> 148:1657-1667 (1992).	<input type="checkbox"/>
/NK/	BK	Shirai <i>et al.</i> , "Helper-Cytotoxic T Lymphocyte (CTL) Determinant Linkage Required for Priming of Anti-HIV CD8+ CTL in Vivo with Peptide Vaccine Constructs," <i>J. Immunol.</i> 152:549-556 (1994).	<input type="checkbox"/>

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/NK/	BL	Takahashi <i>et al.</i> , "An Immunodominant Epitope of the Human Immunodeficiency Virus Envelope Glycoprotein Gp160 Recognized by Class I Major Histocompatibility Complex Molecule-Restricted Murine Cytotoxic T Lymphocytes," <i>Proc. Natl. Acad. Sci. USA</i> 85:3105-3109 (1988).	<input type="checkbox"/>
↓	BM	Takahashi <i>et al.</i> , "Induction of Broadly Cross-Reactive Cytotoxic T Cells Recognizing an HIV-1 Envelope Determinant," <i>Science</i> 255:333-336 (1992).	<input type="checkbox"/>
	BN	Takeshita <i>et al.</i> , "Molecular Analysis of the Same HIV Peptide Functionally Binding to Both a Class I and a Class II MHC Molecule," <i>J. Immunol.</i> 154:1973-1986 (1995).	<input type="checkbox"/>
	BO	Yamamoto <i>et al.</i> , "Mutants in the ADP-ribosyltransferase Cleft of Cholera Toxin Lack Diarrheagenicity but retain adjuvanticity," <i>J. Exp. Med.</i> 185:1203-1210 (1997).	<input type="checkbox"/>
	BP	Yamamoto <i>et al.</i> , "A nontoxic mutant of cholera toxin elicits Th2-type responses for enhanced mucosal immunity," <i>Proc. Natl. Acad. Sci. USA</i> 94:5267-5272 (1997).	<input type="checkbox"/>
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